



Docket No.: 202990US-2SRD DIV

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231



ATTORNEYS AT LAW

RE: Application Serial No.: 09/783,549  
Applicants: Noboru YAMAGUCHI, et al.  
Filing Date: FEBRUARY 15, 2001  
For: VIDEO ENCODING AND DECODING APPARATUS  
Group Art Unit: 2613  
Examiner: LEE, R.

**RECEIVED**

JUL 25 2002

DIRECTOR OFFICE  
TECHNOLOGY CENTER 2600

SIR:

Attached hereto for filing are the following papers:

**Copy of Notice of Abandonment Dated JUNE 11, 2002**  
**Request for Withdrawal of Holding of Abandonment Based on Failure to Receive Notice of Allowance/Allowability**  
**Copy of Computer Mail Log Printout (February 7, 2002 through February 21, 2002)**  
**Copy of Computer Hard Copy**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak  
Attorney of Record

Registration No. 24,913

James D. Hamilton  
Registration No. 28,421



**22850**

(703) 413-3000 (phone)  
(703) 413-2220 (fax)

H:\PET WTH ABAN\202990 Failure Pay IF & Receive NOA. PTO Cvr Ltr.wpd



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/783,549	02/15/2001	Noboru Yamaguchi	202990US-2SRD DIV	9977

22850 7590 06/11/2002

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC  
FOURTH FLOOR  
1755 JEFFERSON DAVIS HIGHWAY  
ARLINGTON, VA 22202

EXAMINER

LEE, RICHARD J

ART UNIT PAPER NUMBER

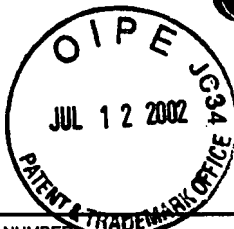
2613

DATE MAILED: 06/11/2002



Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED: 6-14-02  
OBLON, SPIVAK, McCLELLAND  
MAIER & NEUSTADT, P.C.  
DOCKETING DEPT.  
Initials/Date Docketed: 6-14-02  
Type of Resp(s): Ret to w/o  
Due Date(s): 7-11-02



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
--------------------	-------------	-----------------------	---------------------

09/783,549

EXAMINER
----------

ART UNIT	PAPER NUMBER
----------	--------------

1410

DATE MAILED:

**NOTICE OF ABANDONMENT**

This application is abandoned in view of:

- ☐ Applicant's failure to timely file a proper response to the Office letter mailed on \_\_\_\_\_.
- ☐ A response (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_, which is after the expiration of the period for response (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_\_.
- ☐ A proposed response was received on \_\_\_\_\_, but it does not constitute a proper response to the final rejection.
- (A proper response to a final rejection consists only of: a timely filed amendment which places the application in condition for allowance; a Notice of Appeal; or the filing of a continuing application under 37 CFR 1.62 (FWC).)
- ☐ No response has been received.

☒ Applicant's failure to timely pay the required issue fee within the statutory period of three months from the mailing date of the Notice of Allowance.

- ☐ The issue fee (with a Certificate of Mailing or Transmission of \_\_\_\_\_) was received on \_\_\_\_\_.
- ☐ The submitted issue fee of \$\_\_\_\_\_ is insufficient. The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_.
- ☒ The issue fee has not been received.
- ☐ Applicant's failure to timely file new formal drawings as required in the Notice of Allowability.
- ☐ Proposed new formal drawings (with a Certificate of Mailing or Transmission of \_\_\_\_\_) were received on \_\_\_\_\_.
- ☐ The proposed new formal drawings filed \_\_\_\_\_ are not acceptable.
- ☐ No proposed new formal drawings have been received.
- ☐ The express abandonment under 37 CFR 1.62(g) in favor of the FWC application filed on \_\_\_\_\_.
- ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
- ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity) under 37 CFR 1.34(a) upon the filing of a continuing application.
- ☐ The decision by the Board of Patent Appeals and Interferences rendered on \_\_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims.
- ☐ The reason(s) below:

202990US-2SRD-DIV



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

:

Noboru YAMAGUCHI, et al.

: EXAMINER: LEE, R.

SERIAL NO: 09/783,549

: GROUP ART UNIT: 2613

FILED: FEBRUARY 15, 2001

TITLE: VIDEO ENCODING AND DECODING APPARATUS

**REQUEST FOR WITHDRAWAL OF HOLDING OF ABANDONMENT  
BASED ON FAILURE TO RECEIVE NOTICE OF ALLOWANCE/ALLOWABILITY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice of Abandonment dated June 11, 2002, Applicants, through counsel of record, respectfully request that the holding of abandonment for the above-identified application be withdrawn due to the fact that the Notice of Allowance/Allowability mailed by the U.S. Patent and Trademark Office on February 7, 2002, was not received by Applicant's Attorney. The facts concerning this matter are as follows:

Upon receipt of the mail from the Patent Office in this firm, all mail is opened and logged in a daily computer mail log. All mail received from the Patent Office is logged in the mail log by serial number or patent number, along with a description of the mail received. At the time of entry into the computer, the serial numbers are checked to ensure that the correct docket number appears on the mail so that the documents are entered in the correct application in the computer

and matched with the correct application file. Any due dates resulting from the Patent Office mail are entered in the computer.

After receiving the Notice of Abandonment, our computer system was checked. The computer Patent Due Dates section for the subject application did not show a current due date for the Issue Fee, nor did it show receipt of the Notice of Allowance/Allowability (see attached computer printouts). The Patent Office computerized Mail Log was then checked. Mail from the Patent Office is generally received by our firm within two to three days of mailing; however, to ensure a complete check, the daily mail log was searched from February 7, 2002 through February 21, 2002 and found to contain no record of having the Notice of Allowance/Allowability for the above-identified application.

The application file was then pulled and the full contents of the file were reviewed. The application file contained no Notice of Allowance/Allowability.

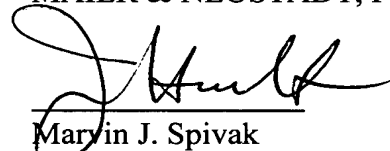
It is believed that the above discussion and documents enclosed herewith clearly prove that abandonment of this application is deemed unavoidable due to non-receipt of the Notice of Allowance/Allowability, and therefore, the holding of abandonment was issued in error.

Accordingly, it is requested the holding of abandonment be withdrawn and that the Notice of Allowance/Allowability be reissued in the present application.

The undersigned petitioner declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak  
Registration No. 24,913  
Attorney of Record

James D. Hamilton  
Registration No. 28,421



**22850**

Tel: (703) 413-3000  
Fax: (703) 413-2220  
MJS//dgh

# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application#	Patent #/Reg #	CaseId	Base Date	Date Rcvd
ALLOWABILITY		08/832,374		109505US	02-04-2002	02-07-2002
ALLOWABILITY		09/429,558		167971US	02-12-2002	02-19-2002
ALLOWANCE	C	09/291,046		140316US	02-04-2002	02-07-2002
ALLOWANCE	R	09/331,187	6,405,099	144202US	02-05-2002	02-08-2002
ALLOWANCE	R	09/451,775	6,407,860	129999US	02-08-2002	02-12-2002
ALLOWANCE	C	09/470,858	6,385,154	203685US	02-07-2002	02-11-2002
ALLOWANCE	R	09/845,177	6,391,118	207651US	02-06-2002	02-11-2002
ALLOWANCE	D	09/889,580		211433US	02-08-2002	02-20-2002
ALLOWANCE/ALLOWABILITY		08/557,842	6,403,739	159504US	02-14-2002	02-21-2002
ALLOWANCE/ALLOWABILITY		08/832,374		109505US	02-12-2002	02-14-2002
ALLOWANCE/ALLOWABILITY		08/915,080	6,410,166	127404US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		08/925,648		163315US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		09/028,198	6,405,648	165316US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		09/087,703	6,411,274	203810US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILITY	D	09/137,162		167337US	02-14-2002	02-20-2002
ALLOWANCE/ALLOWABILITY		09/137,162		167337US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILITY		09/141,535		141459US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILITY		09/152,058	6,396,814	110236US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILITY		09/195,681	RE 37,786	110306US	02-06-2002	02-12-2002
ALLOWANCE/ALLOWABILITY		09/198,531	6,410,898	124656US	02-15-2002	02-19-2002
ALLOWANCE/ALLOWABILITY		09/232,727	6,399,279	113092US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILITY		09/243,479	6,406,801	117883US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		09/267,312	6,407,877	203624US	02-15-2002	02-20-2002
ALLOWANCE/ALLOWABILITY		09/273,277	6,414,729	110478US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILITY		09/292,842	6,420,476	119385US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILITY		09/295,418	6,396,202	110541US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILITY		09/297,052		138505US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILITY		09/305,278	6,414,354	116210US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILITY		09/314,115	6,392,272	110576US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		09/331,166	6,404,353	124723US	02-05-2002	02-08-2002
ALLOWANCE/ALLOWABILITY		09/331,187	6,405,099	144202US	01-31-2002	02-08-2002
ALLOWANCE/ALLOWABILITY		09/339,877	6,404,126	119412US	02-08-2002	02-11-2002
ALLOWANCE/ALLOWABILITY		09/348,167	6,413,526	164281US	02-14-2002	02-21-2002

# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg #	Case Id	Base Date	Date Rcvd
ALLOWANCE/ALLOWABILI		09/355,335	6,410,497	134521US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/380,066	6,415,190	124745US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/386,165	6,405,926	163341US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/401,183	6,417,847	110746US	02-06-2002	02-12-2002
ALLOWANCE/ALLOWABILI		09/414,698	6,412,597	197955US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/416,952	6,396,792	110772US	02-08-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/419,610	6,404,100	110778US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/424,443	6,411,420	204095US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/424,970		168001US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/424,986	6,410,016	167555US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/431,282	6,409,838	151935US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/432,273	6,407,179	119803US	02-14-2002	02-21-2002
ALLOWANCE/ALLOWABILI		09/438,423	6,408,189	142701US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/451,775	6,407,860	129999US	02-06-2002	02-08-2002
ALLOWANCE/ALLOWABILI		09/452,693	6,411,175	138774US	02-01-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/453,085	6,408,273	124779US	02-11-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/459,581	6,419,946	164340US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/463,973	6,420,710	143333US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/482,641	6,403,842	113152US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/487,613	6,407,693	119506US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/493,016		166279US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/496,055	6,345,478	168137US		02-14-2002
ALLOWANCE/ALLOWABILI		09/500,909	6,415,507	127836US	02-11-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/501,191	6,414,432	127484US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/502,451		110886US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/504,800	6,397,634	117518US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/509,565	6,399,340	102240US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/513,696		203214US	02-05-2002	02-07-2002
ALLOWANCE/ALLOWABILI		09/515,318	6,402,792	117528US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/518,137	6,410,612	130011US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/520,345	6,403,288	128389US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/530,972	6,409,778	167653US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/531,178		147823US	02-12-2002	02-19-2002



# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg #	Case Id	Base Date	Date Rcvd
ALLOWANCE/ALLOWABILI		09/531,446	6,403,193	119532US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/531,448	6,403,691	166050US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/531,456	6,401,432	118342US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/532,085	6,403,700	163590US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/534,627		118343US	02-04-2002	02-07-2002
ALLOWANCE/ALLOWABILI		09/538,376	6,403,983	144620US	02-11-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/542,911	6,404,985	164645US	02-06-2002	02-12-2002
ALLOWANCE/ALLOWABILI		09/544,552	6,404,312	154564US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/549,343	6,402,848	151960US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/551,428	6,421,304	203721US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/554,614	6,403,727	134653US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/556,526	6,405,008	138889US	02-08-2002	02-12-2002
ALLOWANCE/ALLOWABILI		09/559,911	6,410,177	111058US	02-15-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/585,570		111899US	02-14-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/587,344	6,420,935	192623US	02-14-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/592,736		192731US	02-04-2002	02-07-2002
ALLOWANCE/ALLOWABILI		09/594,552	6,401,364	164600US	02-13-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/596,550	6,414,013	193105US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/597,463		193023US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/611,222	6,404,710	203760US	02-11-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/615,803	6,411,548	194412US	02-11-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/621,076	6,402,961	166850US	02-05-2002	02-08-2002
ALLOWANCE/ALLOWABILI		09/623,461	6,404,303	197120US	02-14-2002	02-21-2002
ALLOWANCE/ALLOWABILI		09/628,280	6,402,979	194608US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/630,316	6,406,923	194714US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/631,114	6,387,833	194946US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/646,096	6,403,563	196548US	02-14-2002	02-21-2002
ALLOWANCE/ALLOWABILI		09/648,751	6,407,814	116365US	02-11-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/668,342		197455US	02-12-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/671,296	6,416,888	197110US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/680,268		198248US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/680,537	6,413,634	197819US	02-14-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/686,819	6,401,754	127905US	02-11-2002	02-13-2002

# PTO Mail Log Report

From 02/07/2002 to 02/12/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg #	Case Id	Base Date	Date Rcvd
ALLOWANCE/ALLOWABILI		09/688,529	6,414,466	198042US	02-08-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/689,796	6,405,006	197032US	02-14-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/690,732	6,403,514	198715US	02-04-2002	02-07-2002
ALLOWANCE/ALLOWABILI		09/691,265		198586US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/694,351		198531US	02-05-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/695,107		199048US	02-12-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/696,166	6,417,804	199050US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/708,624	6,403,837	195628US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/709,529	6,416,799	199723US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/725,517		200130US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/730,776	6,401,916	200558US	02-08-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/731,721	6,410,655	200689US	02-15-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/737,963	6,403,742	201009US	02-13-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/760,822	6,404,967	201963US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/765,620	6,389,251	201522US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/772,966	6,407,304	196242US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/785,285	6,417,308	203436US	02-01-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/789,542	6,411,171	203246US	02-14-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/790,570	6,417,418	203880US	01-31-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/793,576	6,405,002	203498US	02-08-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/803,959	6,404,300	204561US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/805,998	6,415,129	204630US	02-11-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/819,662	6,417,313	198806US	02-06-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/822,339	6,415,818	204861US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/855,646		206700US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/860,594		208639US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/874,279	6,417,645	209465US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/884,121	6,411,579	209865US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/885,114	6,403,761	209899US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/891,658	6,402,317	209152US	02-13-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/895,194		210453US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/900,029		211157US	02-08-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/906,712	6,407,010	211401US	02-15-2002	02-19-2002

# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg.#	Case Id	Base Date	Date/Rcvd
ALLOWANCE/ALLOWABILI		09/926,233	6,399,738	213890US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/929,077		212673US	02-12-2002	02-20-2002
ALLOWANCE/ALLOWABILI		09/931,106	6,411,161	212965US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/933,664		209750US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		09/936,177	6,403,829	211869US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		09/949,908	6,414,064	212879US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		09/956,426	6,401,481	212068US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/956,427	6,405,544	212073US	02-12-2002	02-19-2002
ALLOWANCE/ALLOWABILI		09/956,559	6,405,547	212078US	02-08-2002	02-13-2002
ALLOWANCE/ALLOWABILI		09/972,897	6,410,745	211215US	02-11-2002	02-14-2002
ALLOWANCE/ALLOWABILI		29/123,994		158954US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		29/144,096		210187US	02-07-2002	02-11-2002
ALLOWANCE/ALLOWABILI		29/144,678		210770US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		29/144,816		210769US	02-12-2002	02-15-2002
ALLOWANCE/ALLOWABILI		29/144,818		210758US	02-12-2002	02-15-2002
S/ALLOWABILITY		09/252,826		166338US	02-05-2002	02-07-2002
S/ALLOWABILITY		09/427,479	6,395,858	166781US	02-06-2002	02-08-2002
S/ALLOWABILITY		09/539,509	6,366,741	138854US	02-14-2002	02-20-2002
S/ALLOWABILITY		09/542,906	6,379,451	165593US	02-08-2002	02-13-2002
S/ALLOWABILITY		09/776,687	6,386,224	202639US	02-06-2002	02-08-2002
NOT ALLOWANCE		75/702,812		191505US	02-05-2002	02-08-2002
NOT ALLOWANCE		75/793,345		191697US	02-19-2002	02-21-2002
NOT ALLOWANCE		75/816,018		190677US	02-19-2002	02-21-2002
NOT ALLOWANCE		75/897,874		168316US	02-12-2002	02-11-2002
NOT ALLOWANCE		75/898,494		191851US	02-05-2002	02-08-2002
NOT ALLOWANCE		75/898,496		191852US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/055,915		192224US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/055,961		192242US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/056,325		192267US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/057,205		192413US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/093,182		194783US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/215,925		203487US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/216,022		203489US	02-12-2002	02-11-2002

# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg #	CaseId	Base Date	Date Rcvd
NOT ALLOWANCE	C	76/253,534		208041US	02-12-2002	02-14-2002
NOT ALLOWANCE		76/266,301		209422US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/277,817		210619US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,348		211106US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,876		210907US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,880		210912US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,887		211058US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,896		211077US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,898		211079US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/281,936		210988US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/281,939		211038US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,944		211036US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/281,946		210869US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/281,947		210870US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,949		210964US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/281,985		210950US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/282,004		210884US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/282,013		210901US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/282,017		210897US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/282,018		210896US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/282,019		210895US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/282,076		211071US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/288,675		211627US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,095		212519US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/296,096		212520US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/296,100		212475US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/296,102		212474US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,103		212471US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/296,104		212472US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,105		212470US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,109		212496US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,110		212497US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/296,129		212503US	02-19-2002	02-21-2002

# PTO Mail Log Report

From 02/07/2002 to 02/21/2002

Mail Type	C,D,F,R	Application #	Patent #/Reg #	Case Id	Base Date	Date Rcvd
NOT ALLOWANCE		76/296,133		212485US	02-05-2002	02-08-2002
NOT ALLOWANCE		76/296,141		212518US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/296,183		212484US	02-12-2002	02-11-2002
NOT ALLOWANCE		76/297,525		212681US	02-19-2002	02-21-2002
NOT ALLOWANCE		76/297,526		212680US	02-12-2002	02-11-2002

07/11/2002

09/783,549

Page 1 of 3

Case ID: 202990-US

Country: United States of America

Docket Number: 202990US-620-39-2-SRD DIV

Previous Docket:

Case Type: DIV.US.P - U.S. Div (with priority)

Case Status: Pending

Status Desc:

Keyword:

Title: VIDEO ENCODING AND DECODING APPARATUS

Application Number: 09/783,549

Effective US Filing Date: 00/00/0000

Filing Date: 02/15/2001

Interference Number:

Group Number: 2613

Section: Elec/Mech

PCT Number:

Bill Matter Number:

PCT Filing Date:

Patent Number:

Issue Date: 00/00/0000

Tax Schedule: Large

Expiration Date: 00/00/0000

Prev. Short Docket:

Publication A Date: 01/24/2002

Publication A #: US-2002-0009141-A1

Term Ext / Adj Days: 0

Family Number: 110604

Last Updated Date: 02/07/2001

Last Updated By: KEPLE

Created Date: 02/07/2001

Created By: KEPLE

xfer in Date: 00/00/0000

xfer out Date: 00/00/0000

ODS Related Cases:

Senior Party Name:

Junlor Party Name:

Remarks: Ltr Req Appvl Drwg Changes 2/15/01  
Cert Transl of PDs 1/22/02

---

**CLIENT ROLES**

Client ID: 39

Name: TOSHIBA CORPORATION

Client Ref:

Role: Dkt No Assignee/Applicant

Client ID: 620

Name: SUZUYE &amp; SUZUYE

Client Ref: T3MH-96S0667-1D2D1

Role: Correspondence Client

Client ID: 620

Name: SUZUYE &amp; SUZUYE

Client Ref: T3MH-96S0667-1D2D1

Role: Primary Billing Client

Client ID: 6451

Name: KABUSHIKI KAISHA TOSHIBA

Client Ref:

Role: Assignee

---

**INVENTORS**

Order	Official Name
1	YAMAGUCHI Noboru
2	WATANABE Toshiaki
3	IDA Takashi

---

**PRIORITIES**

Application Number	Country	Date Filed
8-154296	Japan	06/14/1996
7-281029	Japan	10/27/1995

07/11/2002

09/783,549

Page 2 of 3

---

**ATTORNEYS**

MJS Spivak, Marvin J. (Responsible Attorney)  
RFC Cardillo, Jr., Raymond F. (Working Attorney)

---

**ASSIGNMENTS**

Description: Converted Assignment

Executed Date: 00/00/0000

Reel Number: 8287

Frame Number: 0299

Record Date: 10/24/1996

Assignment Filed Date: 10/24/1996

**ASSIGNMENT PARTIES**

Role	Client ID	Name	Percentage
Assignor	999999	Unknown	100
Assignee	6451	KABUSHIKI KAISHA TOSHIBA	100

---

**DUE DATES**

Due Date Description: File By

Date Due: 02/15/2001

Indicator: Due Date

Date Cleared: 02/15/2001

Cleared W/O Action: No

Due Date Description: IDS Due

Date Due: 02/15/2001

Indicator: Due Date

Date Cleared: 02/15/2001

Cleared W/O Action: No

Due Date Description: OFR Rcvd

Date Due: 05/15/2001

Indicator: Status Check

Date Cleared: 04/25/2001

Cleared W/O Action: No

Due Date Description: Follow up IDS Ack

Date Due: 05/15/2001

Indicator: Due Date

Date Cleared: 00/00/0000

Cleared W/O Action: No

Due Date Description: Substitute Drawings

Date Due: 06/23/2001

Indicator: Due Date

Date Cleared: 05/22/2001

Cleared W/O Action: No

Due Date Description: 1st OA Resp (1st)

Date Due: 01/21/2002

Indicator: Due Date

Date Cleared: 01/22/2002

Cleared W/O Action: No

Due Date Description: Pet to W/D Aband

Date Due: 08/11/2002

Indicator: Due Date

Date Cleared: 00/00/0000

Cleared W/O Action: No

Due Date Description: Office Action

Date Due: 11/30/2002

Indicator: Status Check

Date Cleared: 00/00/0000

Cleared W/O Action: No

---

---

**RELATED CASES - PARENT CASES**

Docket Number: 110604US-620-39-2-SRD DIV

Oblon Case: ☒

Title: VIDEO ENCODING AND DECODING APPARATUS